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PTO/SB/21 (08-00)

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## Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/043,888 TRANSMITTAL **Application Number FORM Filing Date** January 10, 2002 (to be used for all correspondence after initial filing) **First Named Inventor** Steinman Group Art Unit 2152 **Examiner Name** Not yet assigned Total Number of Pages in This Submission Attorney Docket Number 102.004 **ENCLOSURES** (check all that apply) **Assignment Papers** After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition **Proprietary Information** After Final Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please identify below): Form PTO-1449, X **Extension of Time Request** Address 7 cited references, and Acknowledgement Postcard. **Express Abandonment Request** Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Scott B. Allison Individual name Signature January 7, 2003 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class January 7, 2003 mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: Type or printed name **Edith Martin** Date January 7, 2003 Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: I02.004

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICI RECEIVED JAN 1 0 2003 Group Art Unit: 2152 Technology Center 2100 Applicants: STEINMAN et al. Examiner: Not yet assigned Application No.: 10/043,888 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Filing Date: January 10, 2002 Attorney Docket No.: I02.004 For: METHOD AND APPARATUS FOR SERVING OR DELIVERING Buckley, Maschoff, Talwalkar & Allison LLC ADVERTISEMENTS FOR A 5 Elm Street WORLD WIDE WEB PAGE New Canaan, CT 06840 (203) 972-0006 **CERTIFICATE OF MAILING UNDER 37 CFR 1.8** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on January → , 2003. Dated: January 7, 2003 Edith Martin Commissioner for Patents Washington, DC 20231 Sir: This Information Disclosure Statement is submitted:  $\boxtimes$ under 37 CFR 1.97(b); (within 3 months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last) under 37 CFR 1.97(c) together with either a: Statement under 37 CFR 1.97(e), or \$\_ fee under 37 CFR 17(p); or (after 37 CFR 1.97(b) time period, but before final action or notice of allowance,

whichever occurs first)

Attorney Docket No.: I02.004

under 37 CFR 1.97(d) together with a:					
	Statement under 37 CFR 1.97(e),				
	Petition under 37 CFR 1.97(d)(2)(ii), and				
	\$_ petition fee under 37 CFR 17(i).				
	(after 37 CFR 1.97(c) time period, but before payment of issue fee)				

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Respectfully submitted,

January **7**, 2003

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Substitute for form 1449A/PTO

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/043,888			
Filing Date	January 10, 2002			
First Named Inventor	STEINMAN			
Group Art Unit	2152			
Examiner Name	Not yet assigned			
Attorney Docket Number	102.004			

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No.¹	U.S. Patent Doo	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	5,105,184		Pirani et al.	04/14/1992	
	В	5,740,549		Reilly et al.	04/14/1998	
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	G	6,285,987 B1		Roth et al.	09/04/2001	UNIT 1000
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FOREIGN PATENT DOCUMENTS								
			Foreign Patent Docu		Atomo of Dotoston or Applicant of	Date of Publication of	Pages, Columns, Lines,	-6
Examiner Initials*	Cite No.	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code⁵ ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.